



2161  
TFW

Attorney's Docket No. 080398.P448

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gauba, et al.

Application No.: 09/898,600

Filed: July 3, 2001

For: Interactive Decision-Making Scenarios  
In An Audio/Video

Examiner: Not Yet Assigned

Art Unit: 2161

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on 12.7.05.  
(Date of Deposit)

Carla Anyasia Nascimento  
(Typed or printed name of person mailing correspondence)

[Signature]  
(Signature of person mailing correspondence)

12.7.05  
(Date)

has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

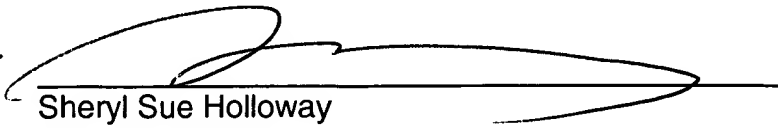
- \_\_\_\_\_ 37 C.F.R. §1.97(b).
- \_\_\_\_\_ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- \_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or
- \_\_\_\_\_ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- \_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

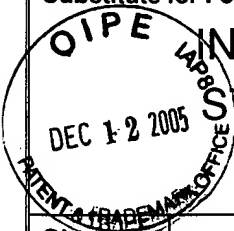
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated Dec 7, 2005

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(408) 720-8300



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/898,600
Filing Date	07/03/2001
First Named Inventor:	Gauba, et al.
Art Unit	2161
Examiner Name	Not Yet Assigned
Attorney Docket Number	080398.P448

Sheet 1 of 3

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US-	5,146,552	09-08-1992	Cassorla, et al.	
		US-	5,307,456	04-26-1994	MacKay	
		US-	5,483,277	01-09-1996	Granger	
		US-	5,469,206	11-21-1995	Strubbe, et al.	
		US-	5,740,549	04-14-1998	Reilly, et al.	
		US-	5,754,938	05-19-1998	Herz, et al.	
		US-	5,798,785	08-25-1998	Hendricks, et al.	
		US-	5,801,747	09-01-1998	Karen Bedard	
		US-	5,861,881	01-19-1999	Freeman, et al.	
		US-	5,884,298	03-16-1999	Smith II, et al.	
		US-	5,889,958	03-30-1999	Steven M. Willens	
		US-	5,892,535	04-06-1999	Allen, et al.	
		US-	5,890,172	03-30-1999	Borman, et al.	
		US-	5,968,109	10-19-1999	Israni, et al.	
		US-	6,020,883	02-01-2000	Herz, et al.	
		US-	6,047,327	04-04-2000	Tso, et al.	
		US-	6,157,924	12-05-2000	Austin	
		US-	6,182,050	01-30-2001	Ballard	
		US-	6,236,975	05-22-2001	Boe, et al.	
		US-	6,353,704	03-05-2002	Nakatani, et al.	
		US-	6,427,164	07-30-2002	Robert H. Reilly	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
Examiner Signature						Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>					<b>Complete if Known</b>	
					Application Number	09/898,600
					Filing Date	07-03-2001
					First Named Inventor:	Gauba, et al.
					Art Unit	2161
					Examiner Name	Not Yet Assigned
Sheet	2	of	3	Attorney Docket Number	080398.P448	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		US-	6,442,144	08-27-2002	Hansen, et al.	
		US-	6,473,751	10-29-2002	Nikolovska, et al.	
		US-	6,549,217	04-15-2003	De Greef, et al.	
		US-	6,567,980	05-20-2003	Jain, et al.	
		US-	6,614,987	09-02-2003	Ismail, et al.	
		US-	6,662,177	12-09-2003	Martino, et al.	
		US-	6,728,713	04-27-2004	Beach, et al.	
		US-	6,732,366	05-04-2004	James Russo	
		US-	6,757,691	06-29-2004	Welsh, et al.	
		US-	6,763,386	07-13-2004	Davis, et al.	
		US-	6,807,574	10-19-2004	Partovi, et al.	
		US-	6,826,592	11-30-2004	Philyaw, et al.	
		US-	2001/0021994	09-13-2001	Nash	
		US-	2002/0083451	06-27-2002	Gill, et al.	
		US-	2003/0088872	05-08-2003	Maissel, et al.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**

<b>Substitute for Form 1449/PTO</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/898,600
				Filing Date	07/03/2001
				First Named Inventor:	Gauba, et al.
				Art Unit	2161
				Examiner Name	Not Yet Assigned
Sheet	3	of	3	Attorney Docket Number	080398.P448
<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T <sup>2</sup>
		Lost Remote, The TV Revolution is Coming, Lost Remote TV News Media & Television Convergence News, TV News Gets (too?) Personal by Cory Bergman, 9/25/00, <a href="http://www.lostremote.com/producer/personal.html">http://www.lostremote.com/producer/personal.html</a> , 2 pages.			
Examiner Signature				Date Considered	